



AMERICAN SURETY BONDS

1587 N.E. Expressway • Atlanta • Georgia • 30329  
(404) 486-2355 (Main) • (877) 201-8976 (Toll Free) • (404) 329-8158 (Fax)  
[info@suretybondsagency.com](mailto:info@suretybondsagency.com) • [www.suretybondsagency.com](http://www.suretybondsagency.com)

## ERISA Bond Application

### Business Information

Exact Name of Plan: \_\_\_\_\_  
Address: \_\_\_\_\_  
Effective Date of Bond: \_\_\_\_\_ Number of Trustees: \_\_\_\_\_  
Amount (at least 10% of the assets) of Plan: \_\_\_\_\_  
Date Plan Established: \_\_\_\_\_  
Are individuals covered by this plan covered by any other Fidelity Coverage?  Yes  No  
Profession Plan Administrator(s) or Employee(s) Administrator: \_\_\_\_\_

### Audits and Internal Control

How frequent are the audits? \_\_\_\_\_ Name of CPA: \_\_\_\_\_  
Are bank accounts reconciled by someone not authorized to deposit or withdrawal?  Yes  No  
Who will the audit be given to? \_\_\_\_\_  
Will countersignatures of the checks be required?  Yes  No  
Will securities be subject to joint control by two or more responsible parties?  Yes  No

### As of the Inception Date of the Bond

Have there been any dishonestly losses within the past six years?  Yes  No (If yes, please explain)  
Is there prior fidelity coverage to be superseded?  Yes  No (If yes, provide surety name, date and bond amount)  
Has any ERISA bond carried by the Applicant been declined or cancelled within the last six years?  
 Yes  No (if yes, please explain)

### Contact Information

Work Phone: \_\_\_\_\_ Cell Phone: \_\_\_\_\_  
Home Phone: \_\_\_\_\_ Fax Number: \_\_\_\_\_  
Email Address: \_\_\_\_\_ Website: \_\_\_\_\_

## Indemnity Agreement

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act which is a crime and shall also be subject to a civil penalty not to exceed five thousand dollars and the state value of the claim for each such violation.

## Signatures

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

X \_\_\_\_\_  
Signature Title

X \_\_\_\_\_  
Signature Indemnitor

X \_\_\_\_\_  
Signature Title

X \_\_\_\_\_  
Signature Indemnitor

X \_\_\_\_\_  
Signature Title

X \_\_\_\_\_  
Signature Indemnitor

X \_\_\_\_\_  
Signature Title

X \_\_\_\_\_  
Signature Indemnitor

**Instructions:**

1. Sign once with your corporate title next to your signature (i.e., owner, president, managing member, etc.)
2. Sign again with the word "indemnitor" written in your own handwriting next to your signature.
3. All partners must sign in this way.
4. All spouses must also sign in the same manner putting the word "spouse" next to his or her first signature.

## Additional Information

- American Surety Bonds Agency, LLC has over 60 combined years of underwriting experience in the surety industry. We are dedicated to developing relationships with our clients and assisting companies in developing a bonding program to support their needs.
- A surety bond is a three party agreement: The principal is the party that assumes the obligation. The surety guarantees the obligation will be performed. The obligee is the entity or state agency that is requiring you to obtain the surety bond.
- Surety bonds are underwritten based upon the following factors: Character. Cash. Capacity.
- Please feel free to contact American Surety Bonds Agency, LLC with any other questions or concerns:

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